

**Transaction Slip for Exchange Traded Funds of ICICI Prudential Mutual Fund** (For existing unitholders only)  
 This form should be completed in ENGLISH and in BLOCK LETTERS only. **All fields are Mandatory.**



BROKER CODE (ARN CODE)	SUB-BROKER ARN CODE	SUB-BROKER CODE (As allotted by ARN holder)	Employee Unique Identification No. (EUIN)
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.			
<b>Declaration for "execution-only" transaction (only where EUIN box is left blank).</b> I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			Date: _____

Date: \_\_\_\_\_  
 D D / M M / Y Y Y Y

<b>X</b>	Sole/First Applicant	Second Applicant	Third Applicant
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FOLIO NO.:	TAX STATUS:	MODE OF HOLDING:
Sole/1st Applicant (Mandatory)	Name of the Unitholder(s)	PAN* KYC Status*

LEI Number	Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above - See Instruction No. 1.)
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2nd Applicant	Name of the Unitholder(s)	PAN*	KYC Status*
3rd Applicant	Name of the Unitholder(s)	PAN*	KYC Status*

# In case of direct application, please write as 'DIRECT' against broker code. \* PAN & KYC are mandatory for all applicants including NRIs.

**INVESTOR DETAILS**

NATIONAL SECURITIES DEPOSITORY LTD. (NSDL) Depository Participant Name: _____ DPID No. <table border="1"><tr><td>I</td><td>N</td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> Beneficiary A/c. No. <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	I	N																	CENTRAL DEPOSITORY SERVICE (INDIA) LTD. (CDSL) Depository Participant Name: _____ Beneficiary A/c. No. <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										
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Enclosures: 1. Client Master List (CML) 2. Statement of Transaction (SOT) in case of redemption request.

<b>BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT</b> (Applicable For New ETF Investors Only)																					
<b>Mandatory information - If left blank the application is liable to be rejected.</b> (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.) For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.																					
MANDATORY Account Number <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											Account Type <input type="radio"/> Savings <input type="radio"/> Current <input type="radio"/> NRE <input type="radio"/> NRO <input type="radio"/> FCNR										
Bank Name & Branch <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											Branch City <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>										
9 Digit MICR Code <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											11 Digit IFSC <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table> Enclosed (Please ✓): <input type="checkbox"/> Bank Account Details Proof Provided.										

<b>TAX STATUS</b> (Applicable For New ETF Investors Only)
[Please tick (✓)] <input type="checkbox"/> Individual <input type="checkbox"/> NRI <input type="checkbox"/> Company <input type="checkbox"/> Trust <input type="checkbox"/> Others (Please specify) _____

(Applicable For New ETF Investors Only)
<b>Correspondence Address</b> _____ (Please provide full address)
<b>Overseas Address</b> _____ (Mandatory for NRI / FII Applicants)
<b>Mobile:</b> _____ <b>Email:</b> _____

<b>TRANSACTION DETAILS</b>	<b>SUBSCRIPTION</b> <input type="checkbox"/> Cash <input type="checkbox"/> Basket	<b>REDEMPTION</b> <input type="checkbox"/> Cash <input type="checkbox"/> Basket
Select Scheme (Please tick (✓))	<input type="checkbox"/> ICICI Prudential S&P BSE 500 ETF <input type="checkbox"/> BHARAT 22 ETF <input type="checkbox"/> ICICI Prudential Sensex ETF <input type="checkbox"/> ICICI Prudential NV20 ETF <input type="checkbox"/> ICICI Prudential Nifty Next 50 ETF <input type="checkbox"/> ICICI Prudential Liquid ETF <input type="checkbox"/> ICICI Prudential Midcap 150 ETF <input type="checkbox"/> ICICI Prudential Gold ETF <input type="checkbox"/> ICICI Prudential Nifty Auto ETF <input type="checkbox"/> ICICI Prudential 5 YEAR G-Sec ETF	<input type="checkbox"/> ICICI Prudential Nifty ETF <input type="checkbox"/> ICICI Prudential Nifty 100 ETF <input type="checkbox"/> ICICI Prudential Healthcare ETF <input type="checkbox"/> ICICI Prudential Midcap Select ETF <input type="checkbox"/> ICICI Prudential Nifty Low Vol 30 ETF <input type="checkbox"/> ICICI Prudential FMCG ETF <input type="checkbox"/> ICICI Prudential Bank ETF <input type="checkbox"/> ICICI Prudential Private Banks ETF <input type="checkbox"/> ICICI Prudential Consumption ETF <input type="checkbox"/> ICICI Prudential Alpha Low Vol 30 ETF <input type="checkbox"/> ICICI Prudential IT ETF <input type="checkbox"/> ICICI Prudential Silver ETF

<b>REDEMPTION</b>	No. of Units (in figures) _____ (in words) _____ Amount (in figures) ₹ _____ (in words) _____
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<b>SUBSCRIPTION</b>	No. of Units (in figures) _____ (in words) _____ Amount (in figures) ₹ _____ (in words) _____
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Cheque / DD No.	Drawn on Bank Name & Branch										
Cheque / DD Date <table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Amount of cheque/DD in figures (Rs.)		
D	D	M	M	Y	Y	Y	Y				
Bank A/c No. <table border="1"><tr><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>											Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others (Please specify)

We hereby confirm having initiated the Transfer / RTGS for transfer of INR \_\_\_\_\_ from our account no. \_\_\_\_\_ with \_\_\_\_\_ (Bank) to your account no. \_\_\_\_\_ with \_\_\_\_\_ (Bank).

**YOUR CONFIRMATION/DECLARATION:** I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/we have not been offered/comunicated any indicative portfolio and/or any indicative yield for this investment.

**Signature(s)** (To be signed as per Mode of Holding)

**ACKNOWLEDGEMENT SLIP (To be filled by the investor)**

Name of the Investor: \_\_\_\_\_  
 Scheme Name: \_\_\_\_\_  
 Folio No. \_\_\_\_\_  Purchase  Redemption | **Cash** Date: \_\_\_\_\_  
 Cheque No. \_\_\_\_\_ Cheque Date: \_\_\_\_\_ Amount Rs. \_\_\_\_\_ or Units \_\_\_\_\_

## CREATION UNITS & DP DETAILS

• ICICI Prudential S&P BSE 500 ETF - 11,00,000 units • ICICI Prudential Nifty ETF - 50,000 units • ICICI Prudential Nifty 100 ETF - 50,000 units • ICICI Prudential Sensex ETF - 10,000 units • ICICI Prudential NV20 ETF - 12,500 units • ICICI Prudential Midcap Select ETF - 25,000 units • ICICI Prudential Nifty Low Vol 30 ETF - 30,000 units • BHARAT 22 ETF - 4,300 units • ICICI Prudential Nifty Next 50 ETF - 1,00,000 units • ICICI Prudential Liquid ETF - 100 units • ICICI Prudential Bank ETF - 5,000 units • ICICI Prudential Private Banks ETF - 7,500 units • ICICI Prudential Midcap 150 ETF - 35,000 units • ICICI Prudential Gold ETF - 1,10,000 units • ICICI Prudential Alpha Low Vol 30 ETF - 18,000 units • ICICI Prudential IT ETF - 10,000 units • ICICI Prudential Healthcare ETF - 50,000 units • ICICI Prudential FMCG ETF - 8,000 units • ICICI Prudential Consumption ETF - 90,000 units • ICICI Prudential Nifty Auto ETF - 23,000 units • ICICI Prudential Silver ETF - 30,000 units • ICICI PRUDENTIAL 5 YEAR G- Sec ETF - 10,000 units

For Redemption : ETF units to be transferred into the following DP account of respective scheme					
<b>DP Name :</b> SBI-SG GLOBAL SECURITIES SERVICES PVT LTD <b>DP ID :</b> IN303786 <b>CLIENT ID :</b> 10005643 <b>CLIENT NAME :</b> ICICI Prudential S&P BSE 500 ETF - Units	<b>DP Name :</b> SBI-SG GLOBAL SECURITIES SERVICES PVT LTD <b>DP ID :</b> IN303786 <b>CLIENT ID :</b> 10005104 <b>CLIENT NAME :</b> BHARAT 22 ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11265704 <b>CLIENT NAME :</b> ICICI Prudential Nifty Low Vol 30 ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> 13012400 <b>CLIENT ID :</b> 00075131 <b>CLIENT NAME :</b> Prudential ICICI Trust Ltd - ICICI Prudential Sensex ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11249066 <b>CLIENT NAME :</b> ICICI Prudential Nifty ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11249857 <b>CLIENT NAME :</b> ICICI Prudential Nifty 100 ETF - UNITS
<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11262036 <b>CLIENT NAME :</b> ICICI Prudential Midcap Select ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11261994 <b>CLIENT NAME :</b> ICICI Prudential NV20 ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11269940 <b>CLIENT NAME :</b> ICICI Prudential NIFTY NEXT 50 ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11270270 <b>CLIENT NAME :</b> ICICI PRUDENTIAL LIQUID ETF - UNITS	<b>DP Name :</b> SBI-SG GLOBAL SECURITIES SERVICES PVT LTD <b>DP ID :</b> IN303786 <b>CLIENT ID :</b> 10007135 <b>CLIENT NAME :</b> ICICI Prudential Bank ETF - UNITS	<b>DP Name :</b> SBI-SG GLOBAL SECURITIES SERVICES PVT LTD <b>DP ID :</b> IN303786 <b>CLIENT ID :</b> 10007160 <b>CLIENT NAME :</b> ICICI Prudential Private Banks ETF - UNITS
<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11279935 <b>CLIENT NAME :</b> ICICI Prudential Midcap 150 ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11236984 <b>CLIENT NAME :</b> ICICI Prudential Gold ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11282264 <b>CLIENT NAME :</b> ICICI Prudential Alpha Low Vol 30 ETF - UNITS	<b>DP Name :</b> HDFC Bank <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11282457 <b>CLIENT NAME :</b> ICICI Prudential IT ETF - UNITS		
<b>DP Name :</b> SBI-SG GLOBAL SECURITIES SERVICES PVT LTD <b>DP ID :</b> IN303786 <b>CLIENT ID :</b> 10008718 <b>CLIENT NAME :</b> ICICI PRUDENTIAL HEALTHCARE ETF - UNITS	<b>DP Name :</b> SBI-SG GLOBAL SECURITIES SERVICES PVT LTD <b>DP ID :</b> IN303786 <b>CLIENT ID :</b> 10009028 <b>CLIENT NAME :</b> ICICI PRUDENTIAL FMCG ETF - UNITS	<b>DP Name :</b> HDFC BANK <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11290272 <b>CLIENT NAME :</b> ICICI PRUDENTIAL CONSUMPTION ETF-UNITS			
<b>DP Name :</b> THE HONGKONG AND SHANGHAI BANKING CORP.LTD <b>DP ID :</b> IN300142 <b>CLIENT ID :</b> 10783401 <b>CLIENT NAME :</b> ICICI PRUDENTIAL NIFTY AUTO ETF - UNITS	<b>DP Name :</b> HDFC BANK LTD <b>DP ID :</b> IN300126 <b>CLIENT ID :</b> 11292522 <b>CLIENT NAME :</b> ICICI PRUDENTIAL SILVER ETF-UNITS	<b>DP Name :</b> THE HONGKONG AND SHANGHAI BANKING CORP. LTD <b>DP ID :</b> IN300142 <b>CLIENT ID :</b> 10784453 <b>CLIENT NAME :</b> ICICI PRUDENTIAL 5 YEAR G- Sec ETF			

### Instructions:

- As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS.CO.OD No.901/06.24.001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual).